



# Progress towards long lifetime high current polarized electron sources

Jyoti Biswas

Brookhaven National Laboratory  
On behalf of the collaborations

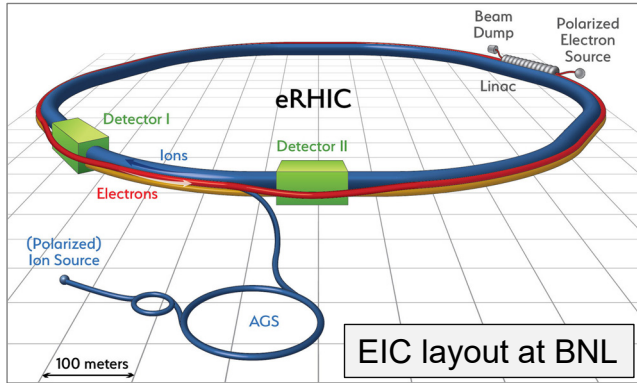
August 9, 2022



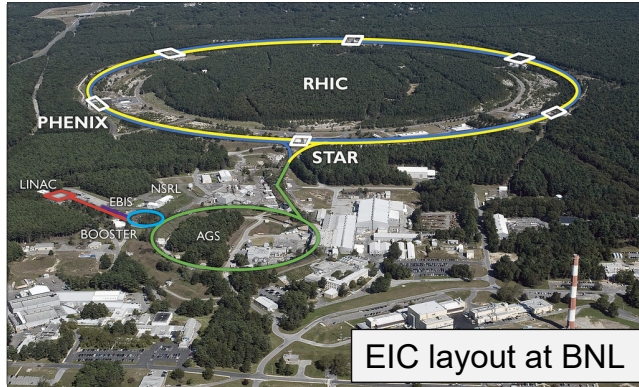
@BrookhavenLab

# Perspective - Polarized Electron Sources

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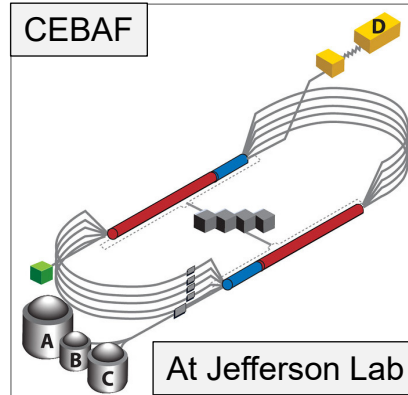
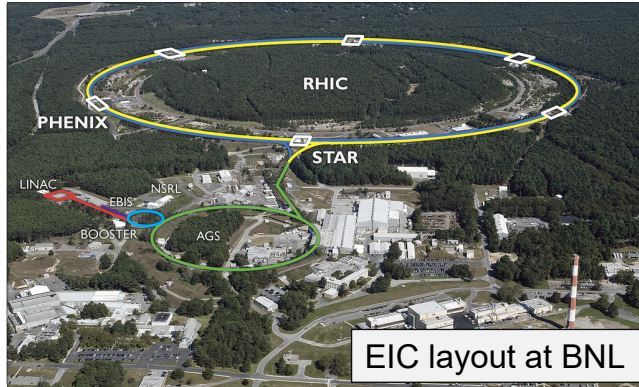


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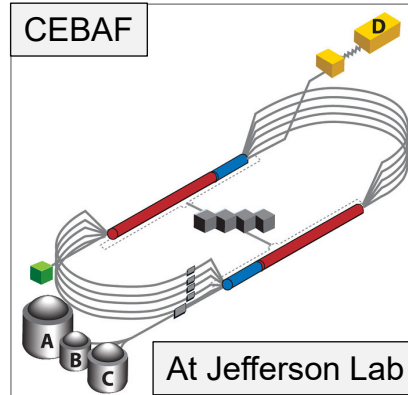
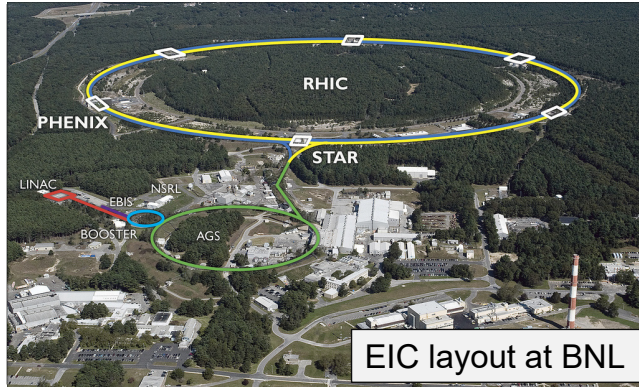




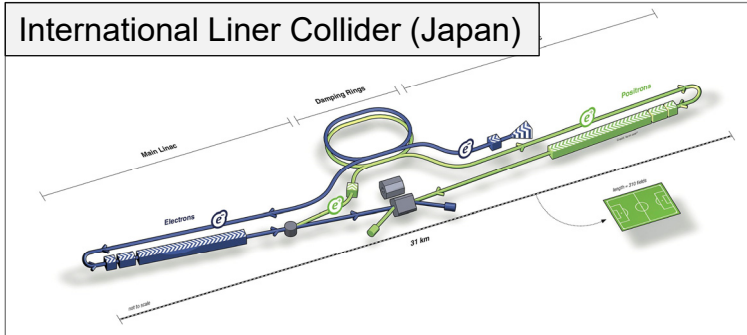
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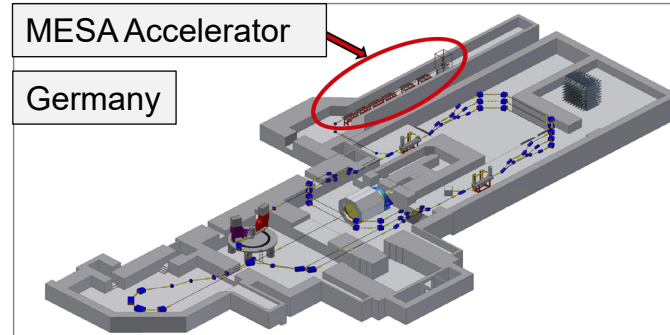
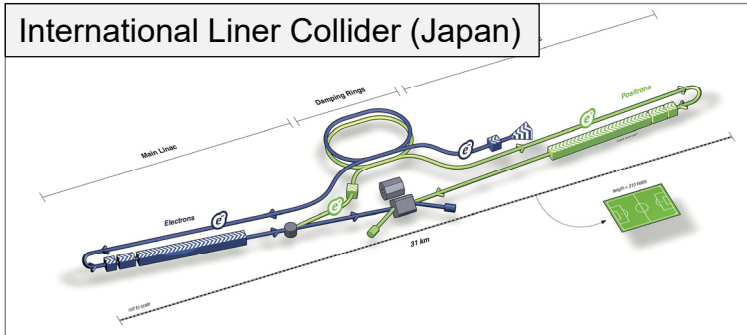
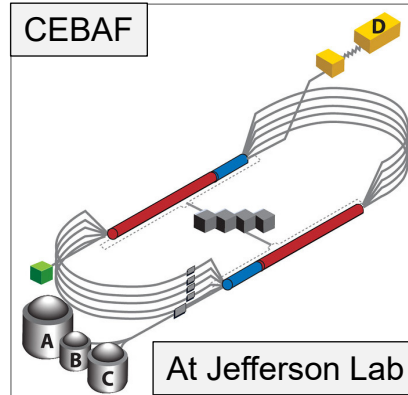
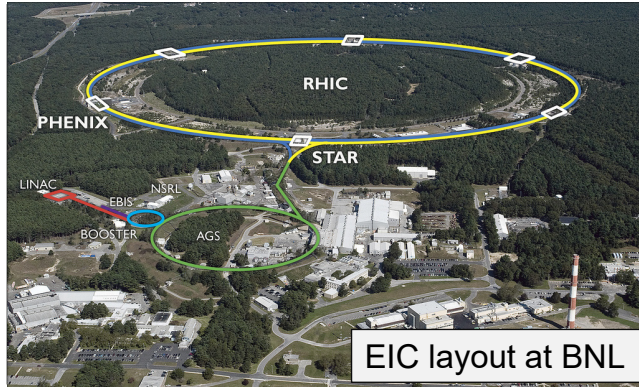
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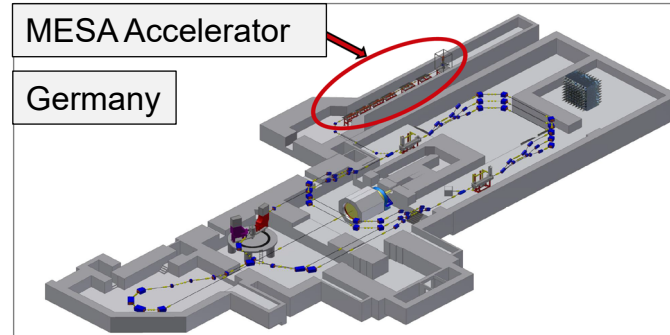
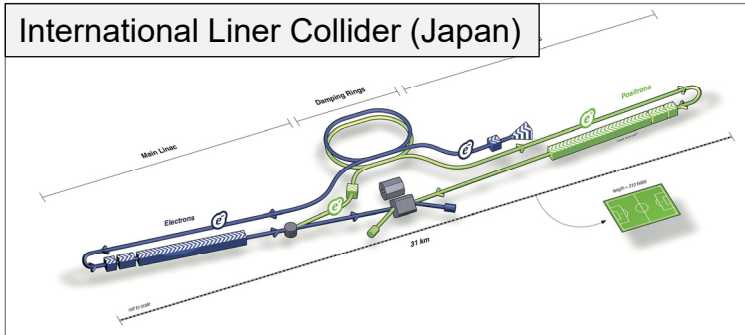
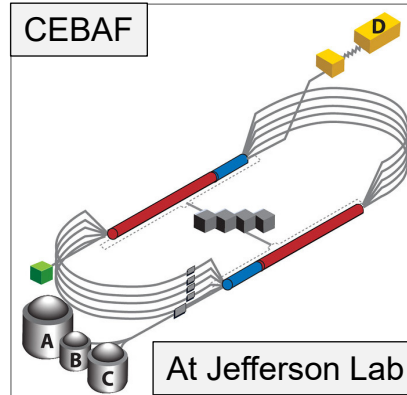
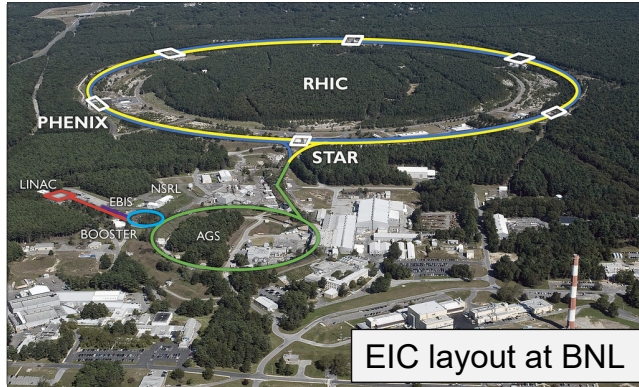
## International Liner Collider (Japan)



# Perspective - Polarized Electron Sources



# Perspective - Polarized Electron Sources





# Polarized Electron Sources

## GaAs-based photocathodes



# Polarized Electron Sources

## GaAs-based photocathodes

PHYSICAL REVIEW B

VOLUME 13, NUMBER 12

15 JUNE 1976

### **Photoemission of spin-polarized electrons from GaAs**

Daniel T. Pierce\* and Felix Meier

*Laboratorium für Festkörperphysik, Eidgenössische Technische Hochschule, CH 8049, Zürich, Switzerland*

(Received 10 February 1976)

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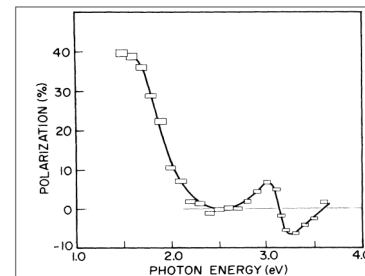
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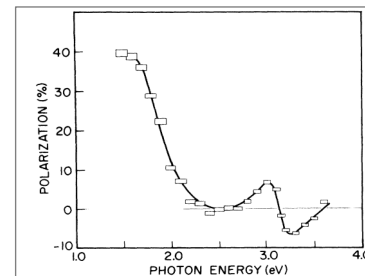
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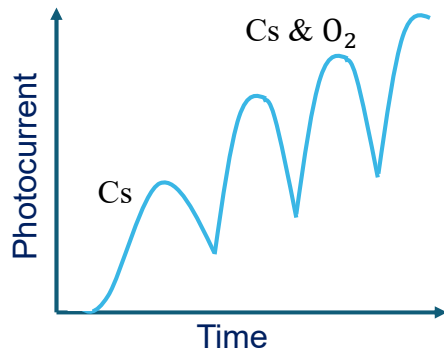
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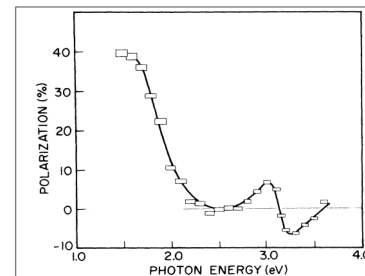
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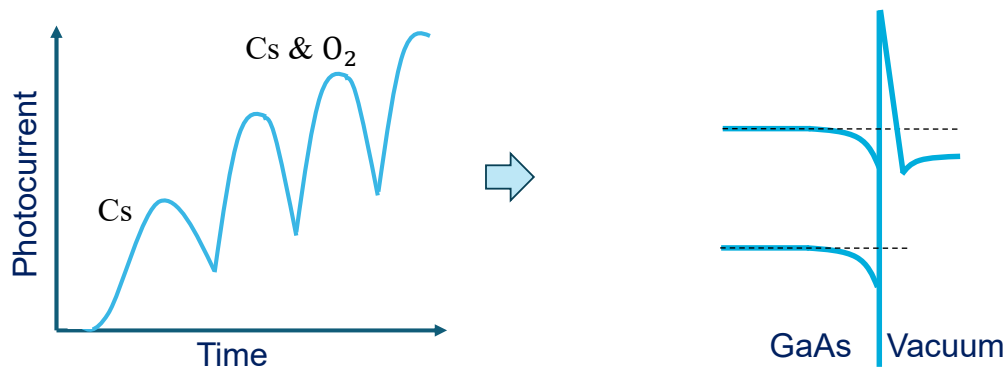
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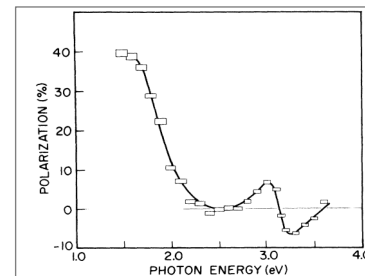
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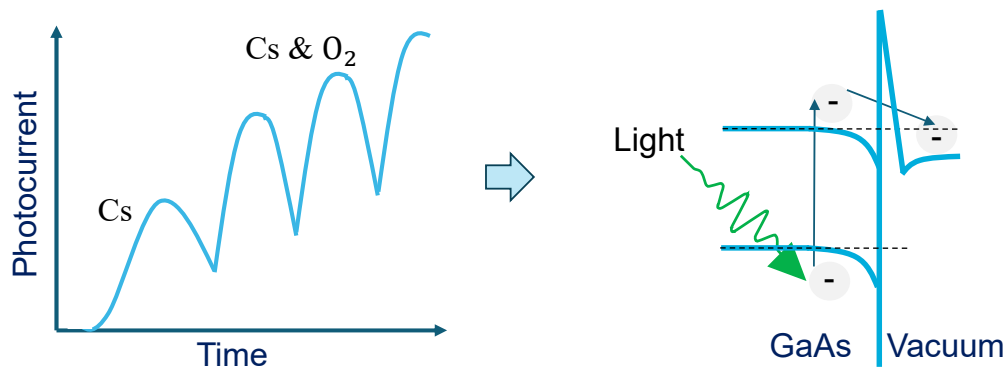
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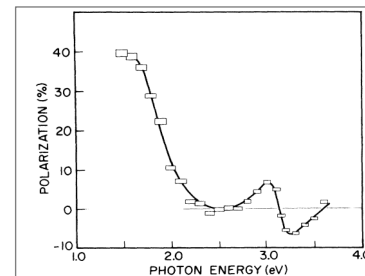
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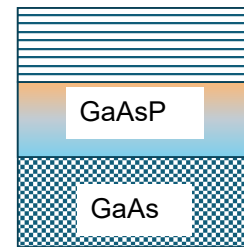
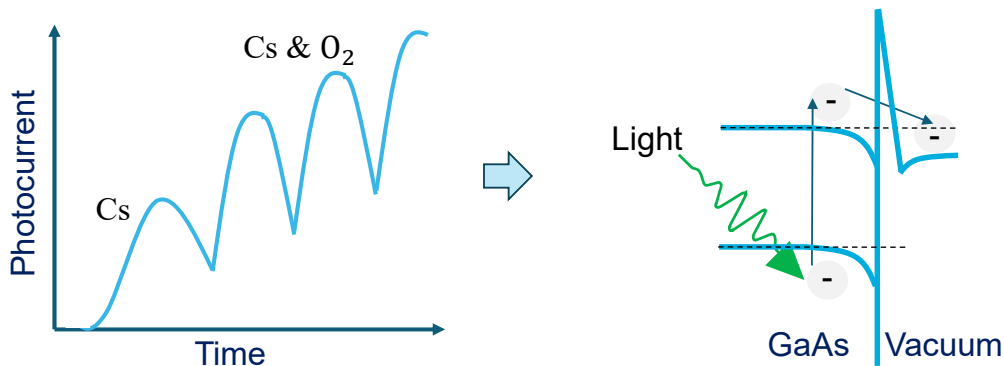
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## Photo-Emission from GaAs



Superlattice GaAs

ESP~92% (@780nm)

QE~1.6% (@780nm)

# Polarized Electron Sources

GaAs-based photocathodes:

Essential Attributes

# Polarized Electron Sources

GaAs-based photocathodes:

Essential Attributes

➤ High Quantum Efficiency (QE)

➤ Long charge lifetime

➤ High Electron Spin Polarization (ESP)

# Polarized Electron Sources

GaAs-based photocathodes:

## Essential Attributes

### ➤ High Quantum Efficiency (QE)

- Reducing Surface Contamination
- Engineering the cathode, SL-DBR
- Robust activation layer material

### ➤ Long charge lifetime

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SL-GaAs, or SL-DBR

I will present our advances on each of these issues!

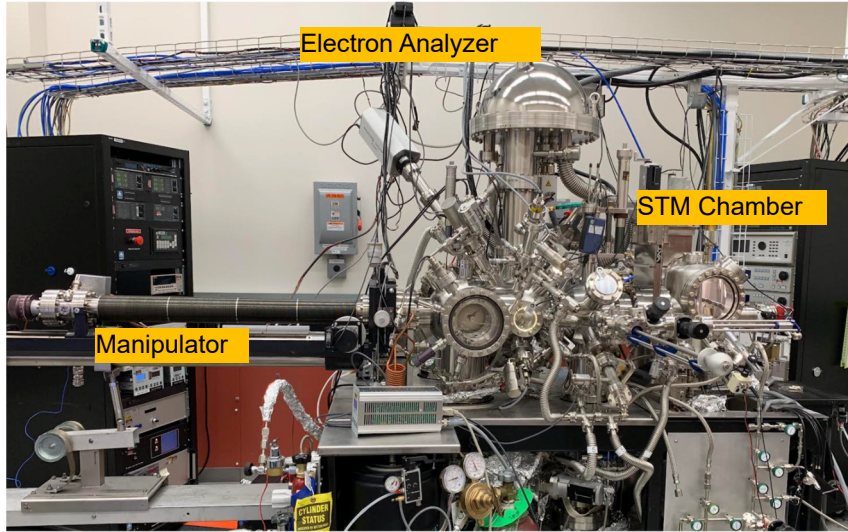
# Towards High QE GaAs Photocathode

## Motivation:

- ☐ Understanding surface roughness variations due to heat treatment
- ☐ Understanding surface cleaning and its effect on QE
- ☐ Evaluating chemical states of CsO/GaAs

# Towards High QE GaAs Photocathode

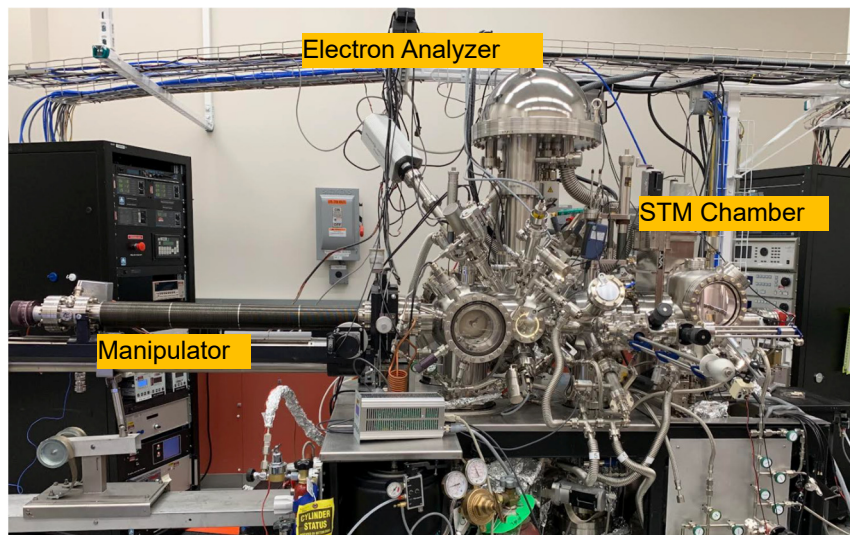
## Substrate Preparation and Characterization



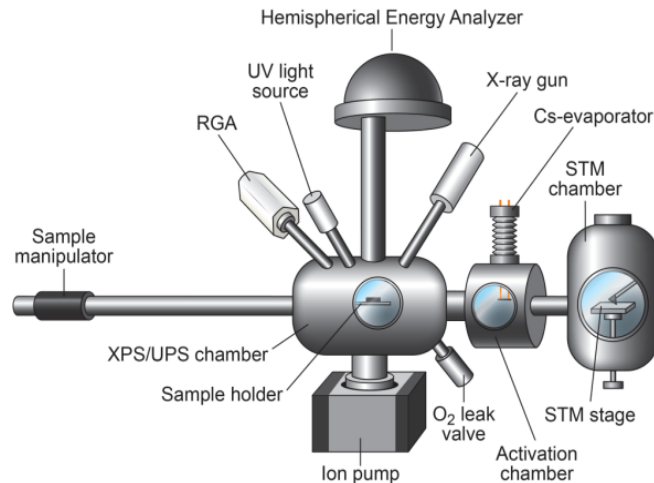
Multiprobe system located in Center for Functional Nanomaterial (CFN) at Brookhaven National Laboratory (BNL).

# Towards High QE GaAs Photocathode

## Substrate Preparation and Characterization



Multiprobe system located in Center for Functional Nanomaterial (CFN) at Brookhaven National Laboratory (BNL).



Schematic drawing of the multiprobe system at CFN, BNL

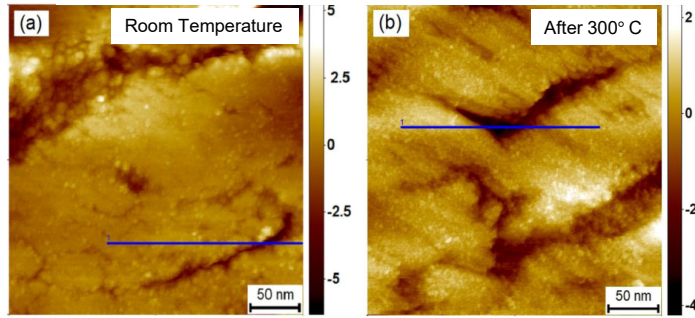
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## Substrate Preparation and Characterization - Surface Roughness



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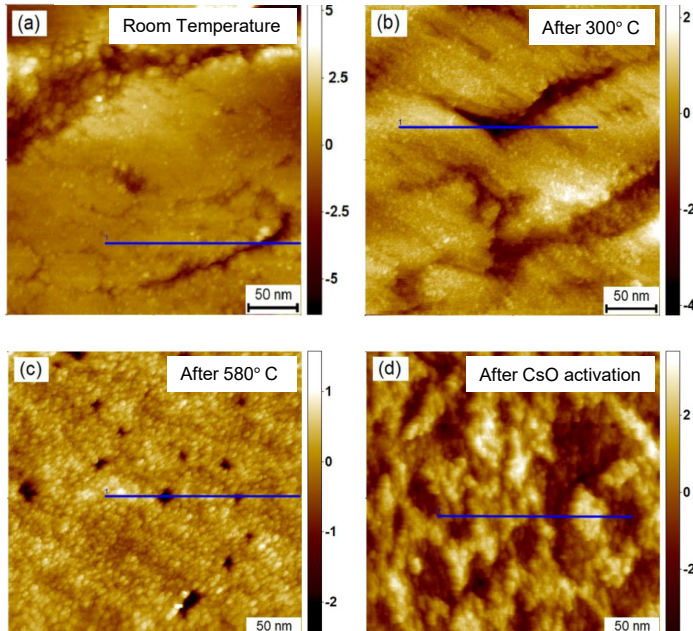
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STM - GaAs at different temperature, & after activation

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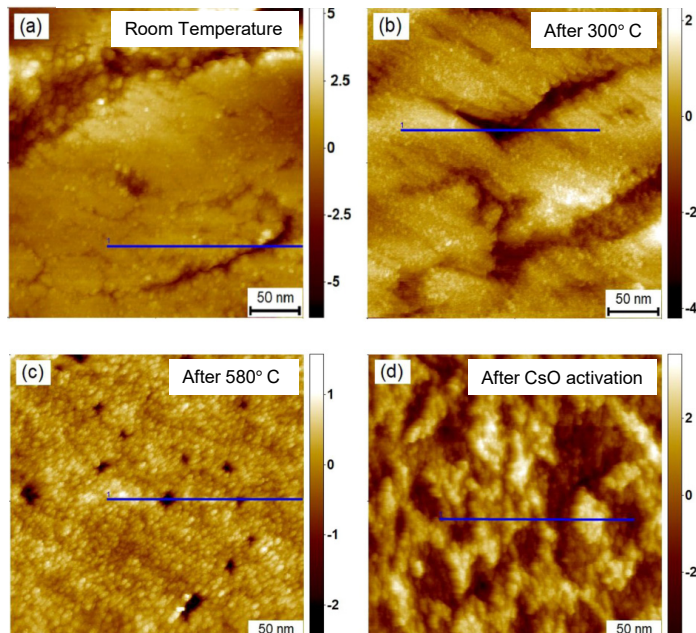
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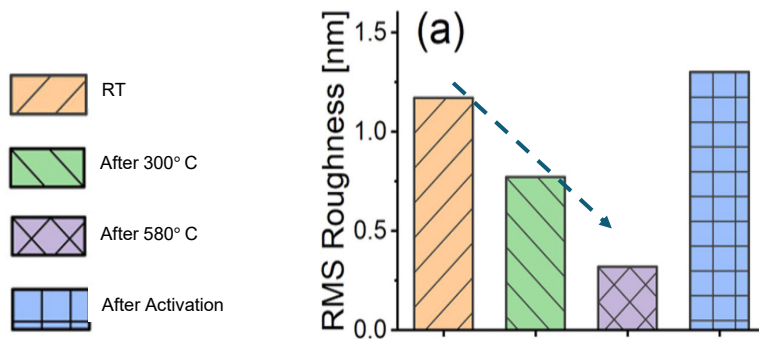
STM - GaAs at different temperature, & after activation

# Towards High QE GaAs Photocathode

## Substrate Preparation and Characterization - Surface Roughness



STM - GaAs at different temperature, & after activation



RMS roughness at different stage of the activation

# Towards High QE GaAs Photocathode

## Conclusion from Substrate Preparation and Characterization

# Towards High QE GaAs Photocathode

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- ❑ Contrary to common assumption, we found that right amount of heat treatment at UHV decreases the surface RMS roughness.

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- ❑ This preparation is optimal for the subsequent growth of thin activation material on it.

# Towards High QE GaAs Photocathode

## Conclusion from Substrate Preparation and Characterization

- ❑ Contrary to common assumption, we found that right amount of heat treatment at UHV decreases the surface RMS roughness.
- ❑ This preparation is optimal for the subsequent growth of thin activation material on it.
- ❑ Reduced field emission and emittance growth.

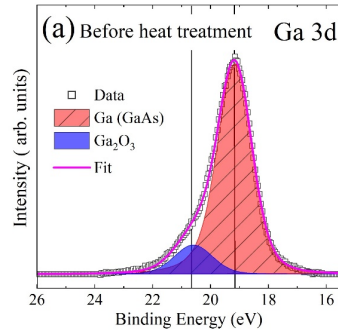
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Pre-growth contamination analysis using XPS



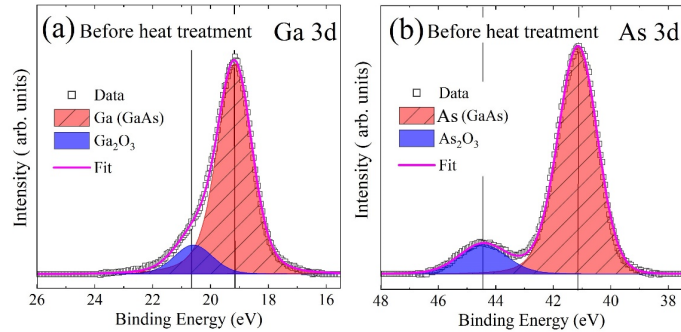
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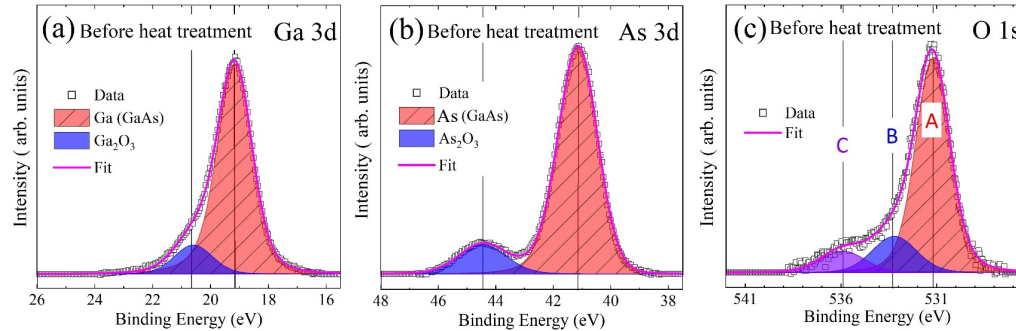
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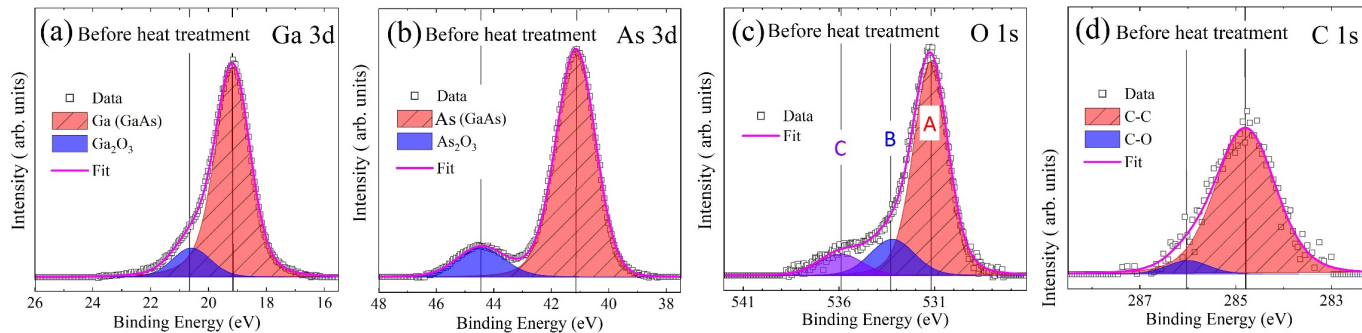
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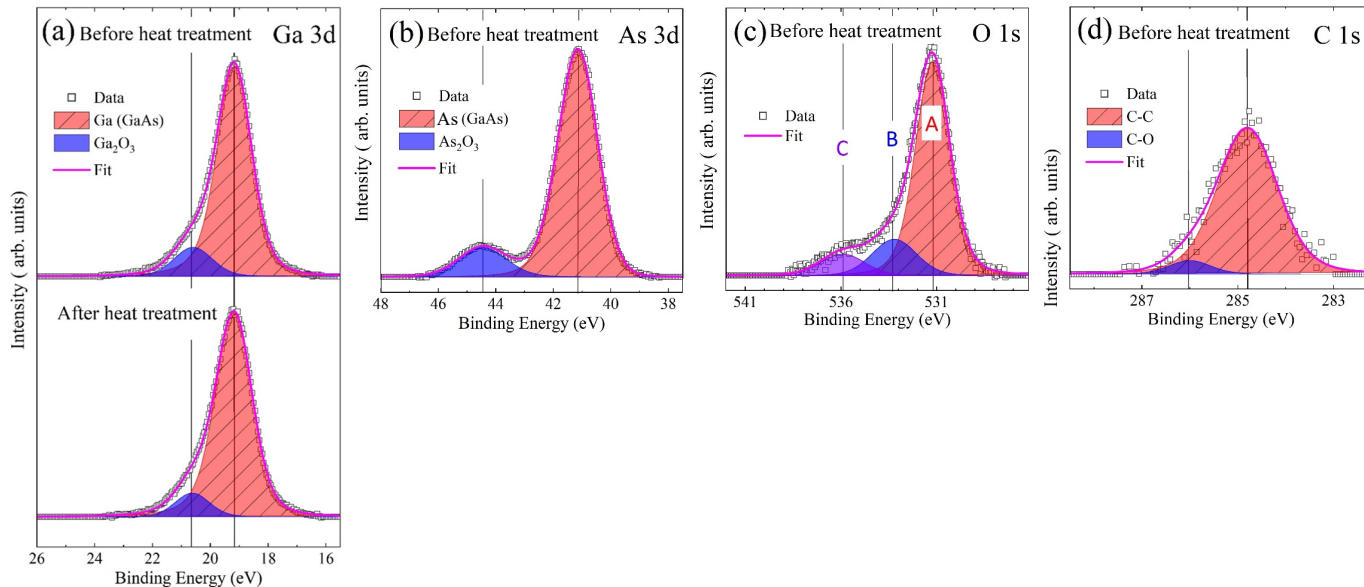
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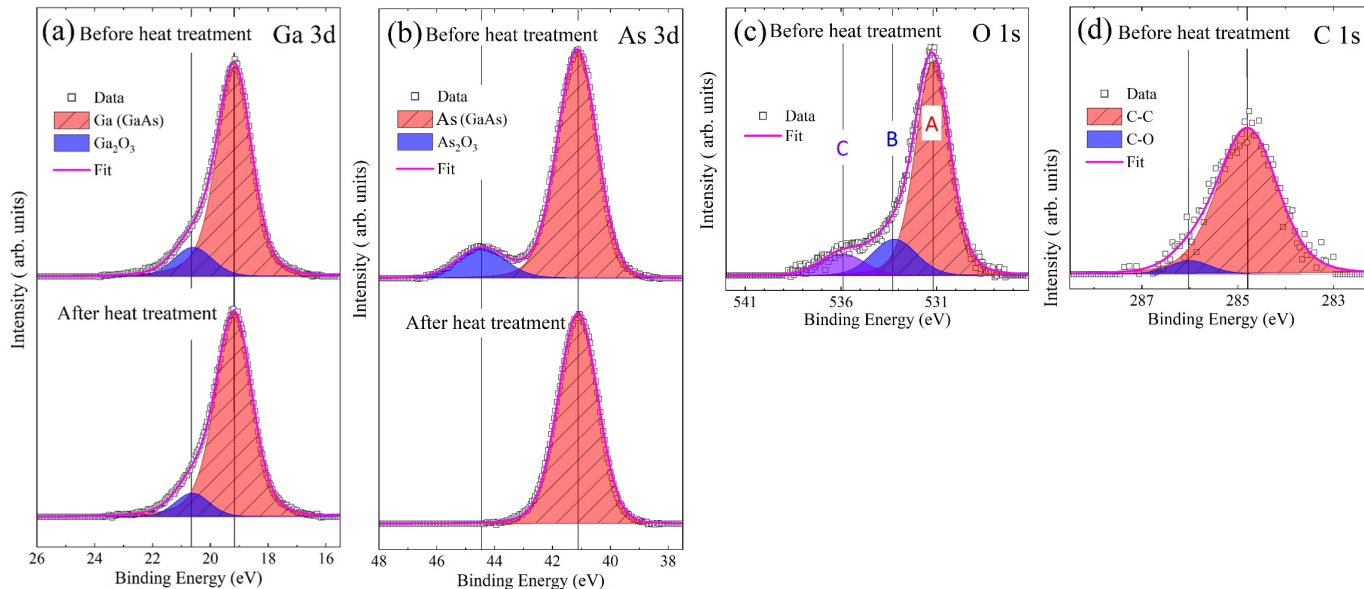
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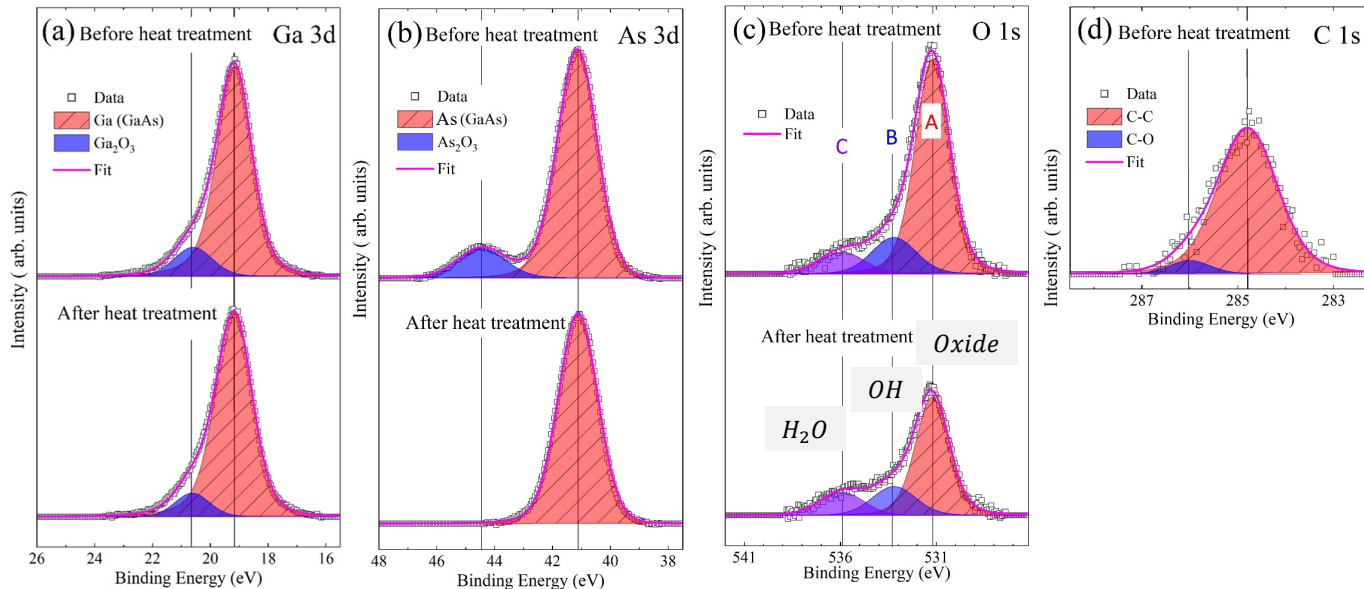
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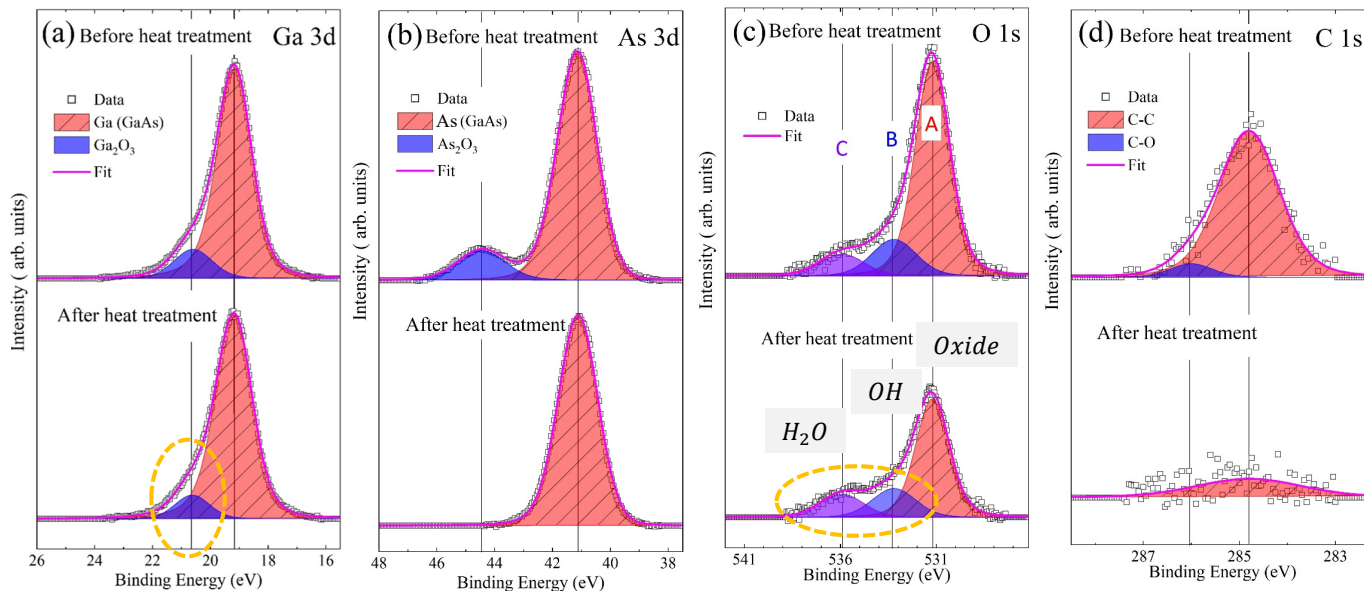
## Pre-growth contamination analysis using XPS





# Towards High QE GaAs Photocathode

## Pre-growth contamination analysis using XPS



# Towards High QE GaAs Photocathode

Conclusion from pre-growth contamination analysis

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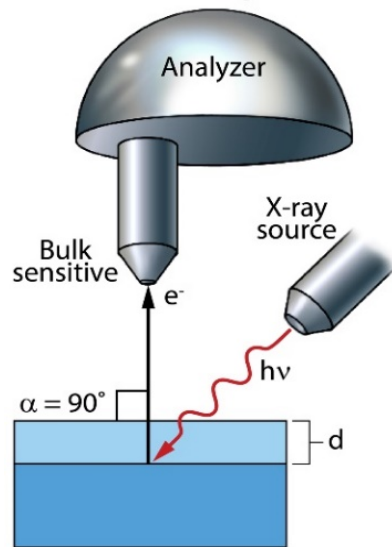
## Conclusion from pre-growth contamination analysis

Although others have confirmed that presence of  $H_2O$  leads to lower QE, we have shown presence of  $H_2O$  causes other types of contamination to appear on the surface.

# Towards High QE GaAs Photocathode

## Chemical analysis of CsO/GaAs cathode using AR-XPS/UPS

(a) 90° take-off angle



$\alpha = \text{electron take off angle}$

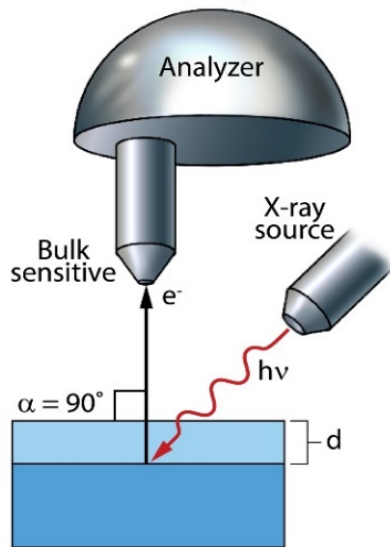
$ID = \text{Information depth}$

$$ID = d \sin \alpha$$

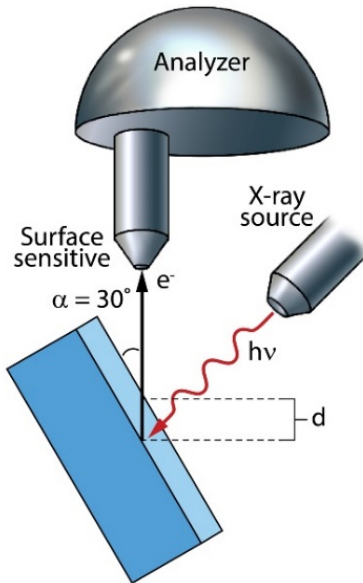
# Towards High QE GaAs Photocathode

## Chemical analysis of CsO/GaAs cathode using AR-XPS/UPS

(a) 90° take-off angle



(b) 30° take-off angle



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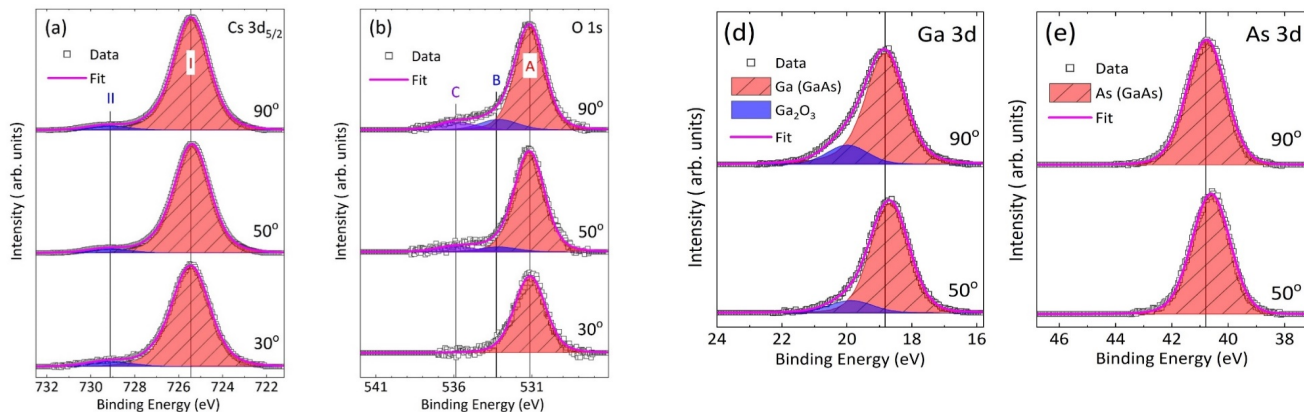
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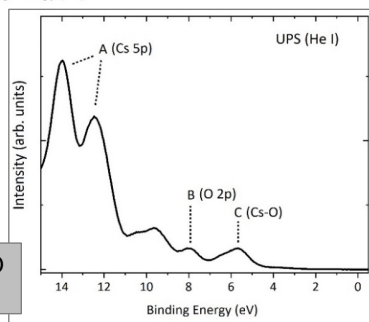
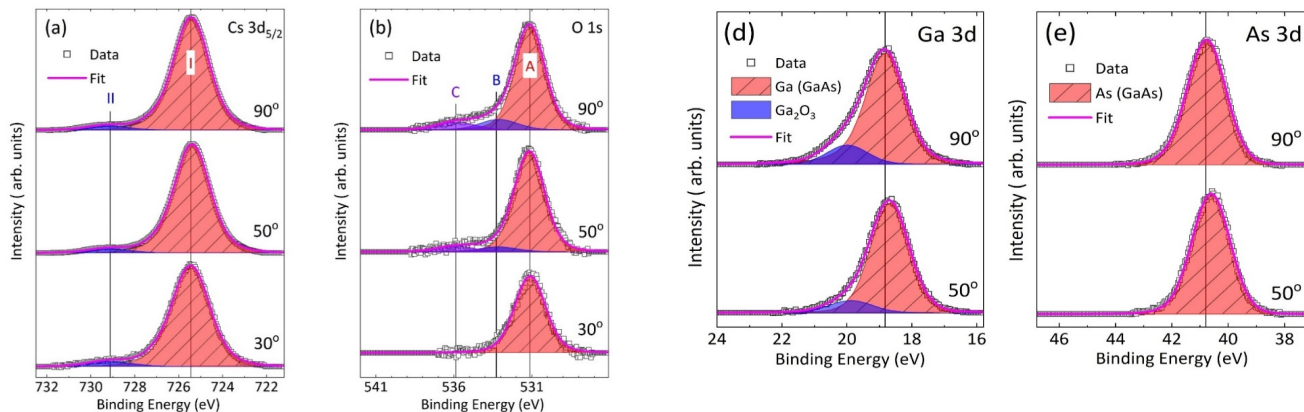
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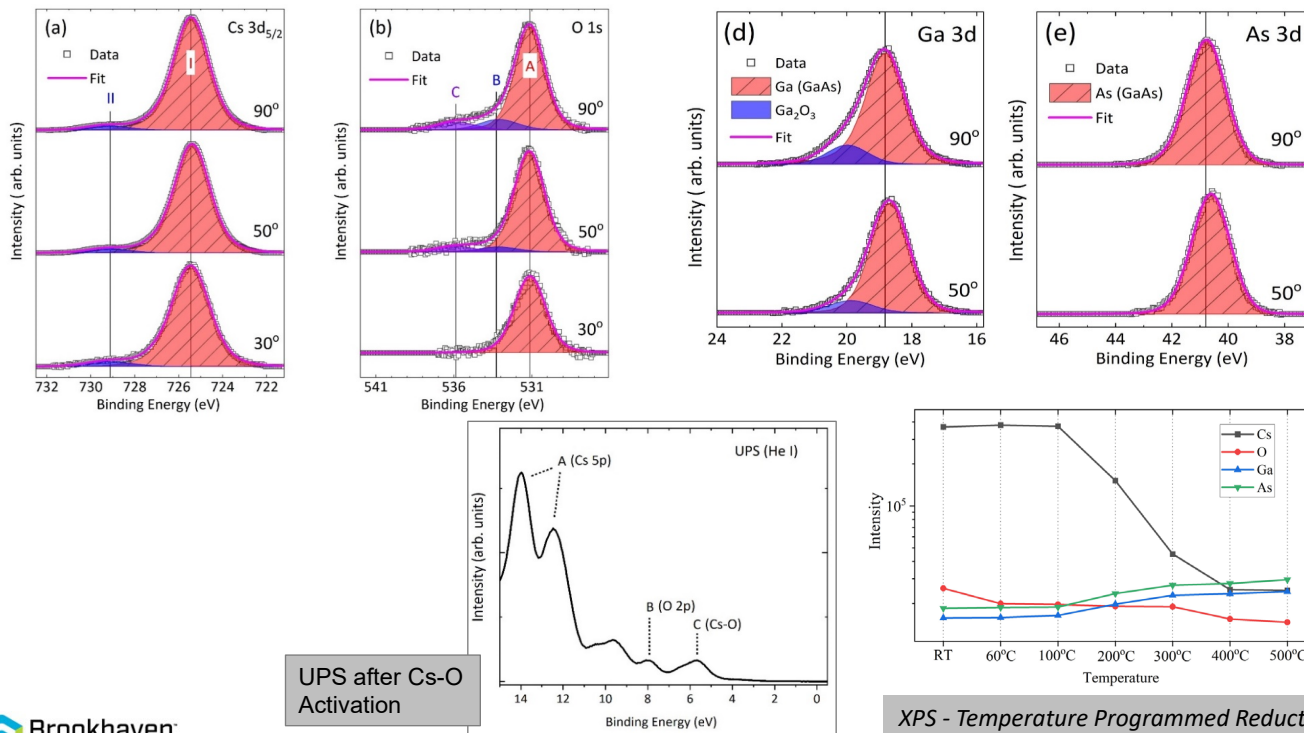
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UPS after Cs-O  
Activation

# Towards High QE GaAs Photocathode

## Chemical analysis of CsO/GaAs cathode using AR-XPS/UPS



# Towards High QE GaAs Photocathode

Conclusion from the chemical analysis of CsO/GaAs

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## Conclusion from the chemical analysis of CsO/GaAs

- This is a **first detailed chemical analysis** of Cs-O activation on GaAs.

# Towards High QE GaAs Photocathode

## Conclusion from the chemical analysis of CsO/GaAs

- ❑ This is a **first detailed chemical analysis** of Cs-O activation on GaAs.
- ❑ We find the ratio of Cs & O on the activation layer, **Cs:O  $\approx$  2:1**
  - **No formation of previously proposed Cs<sub>2</sub>O, or Cs<sub>11</sub>O<sub>3</sub> compound in activation layer.**

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## Conclusion from the chemical analysis of CsO/GaAs

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❑ We find the ratio of Cs & O on the activation layer, **Cs:O  $\approx$  2:1**  
➤ **No formation of previously proposed Cs<sub>2</sub>O, or Cs<sub>11</sub>O<sub>3</sub> compound in activation layer.**

❑ XPS confirms that, surface start to lose Cs significantly at  $\sim 100^{\circ}\text{C}$ , whereas **oxygen loss is significant even at  $60^{\circ}\text{C}$ .**  
➤ Laser illumination induced heating could destroy the cathode if temperature of the sample exceeds  $60^{\circ}\text{C}$ .

## New Activation Technique using Te, Cs, and O

We developed a new technique of activation employing a combination of cesium, tellurium, and oxygen that shows longer charge lifetime.

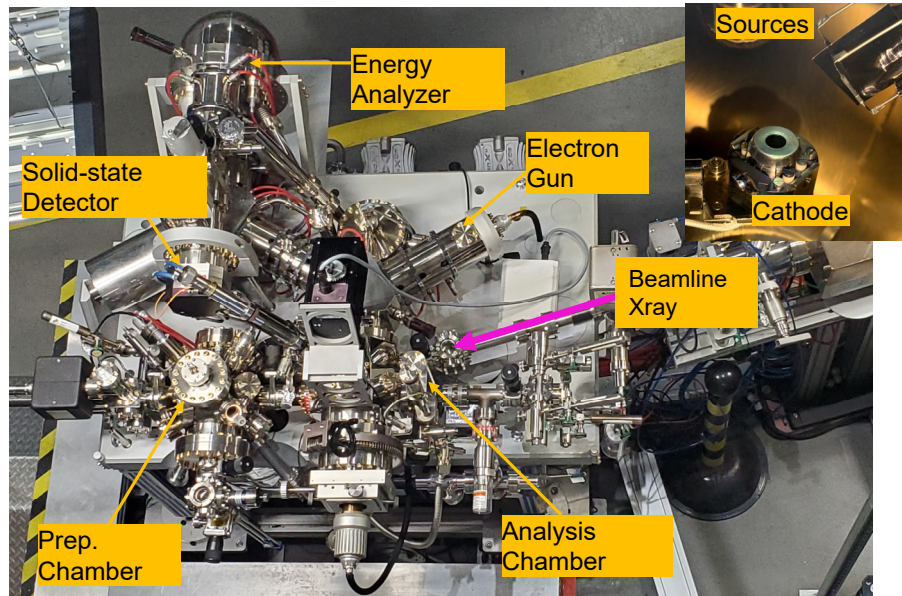


# Towards Long Charge lifetime GaAs Photocathode

## New Cs-Te and Cs-Te-O based Activation on GaAs

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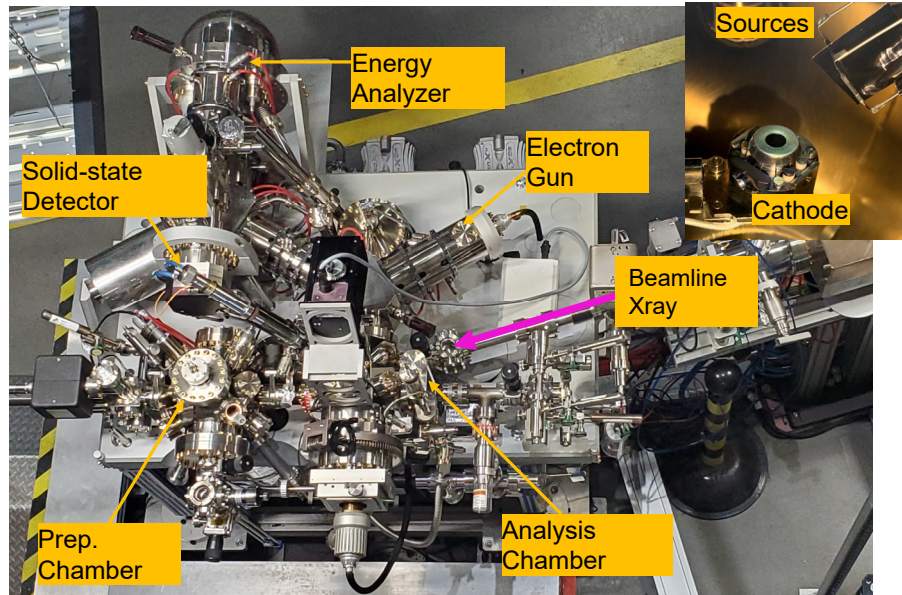
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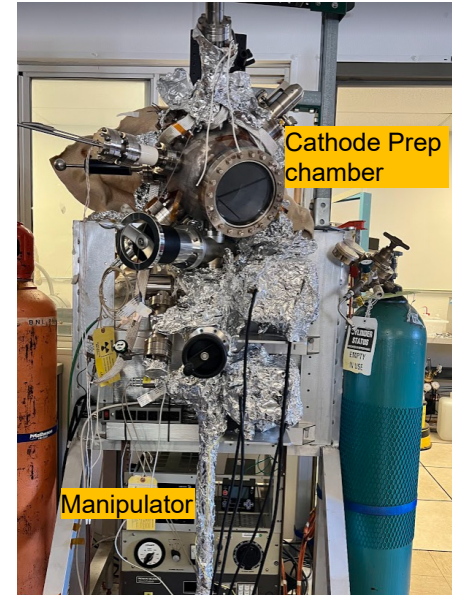
LEEM/XPEEM beamline located at NSLS II, BNL

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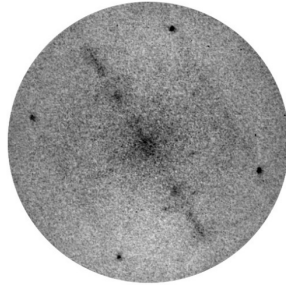
Cathode chamber at CAD, BNL

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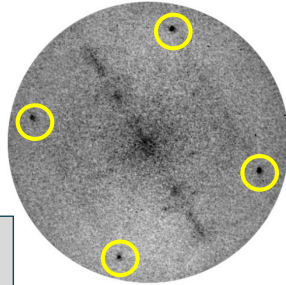
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Oxide desorption – LEED

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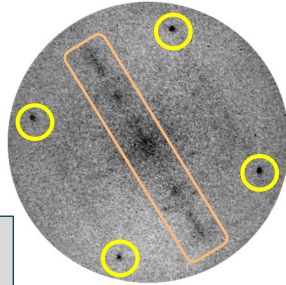


(1x1), & defused (4x6)  
reconstruction

Oxide desorption – LEED

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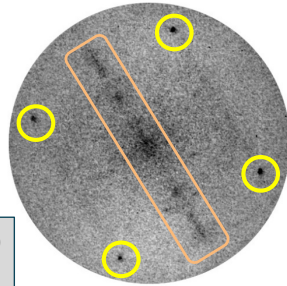
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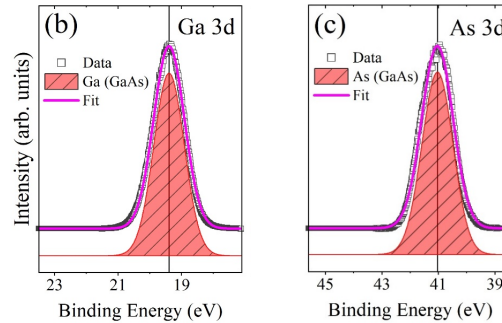
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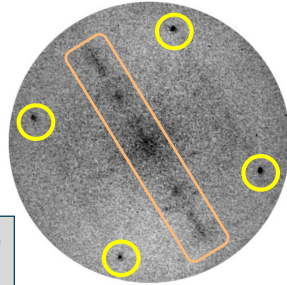


Oxide desorption – SR-XPS



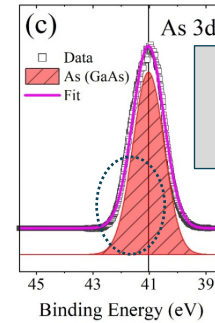
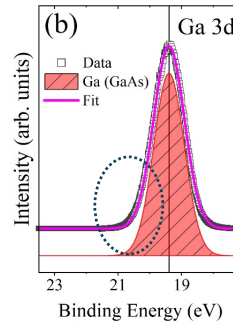
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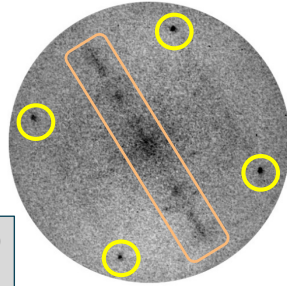
Oxides  
desorbed  
completely

Oxide desorption – SR-XPS

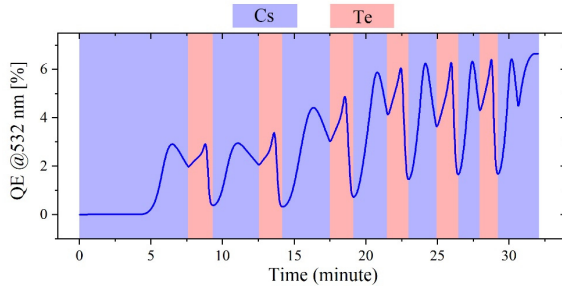
# Towards Long Charge lifetime GaAs Photocathode

## New Cs-Te and Cs-Te-O based Activation on GaAs

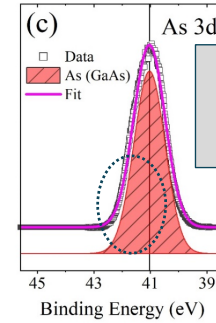
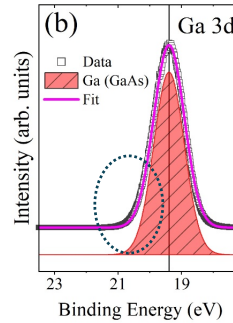
(1x1), & defused (4x6)  
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Cs-Te activation on GaAs



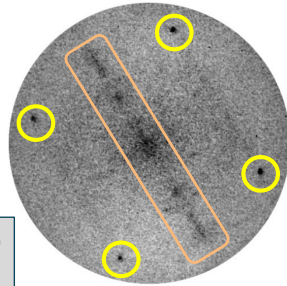
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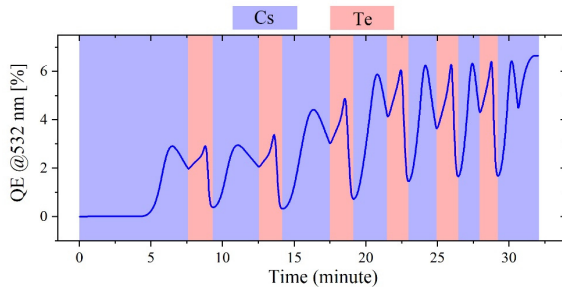
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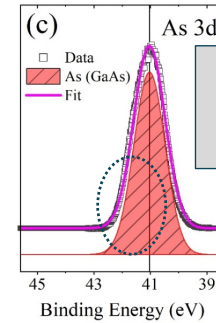
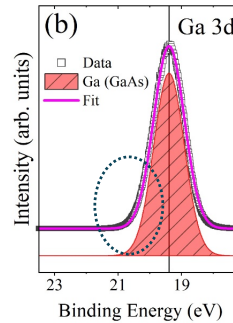
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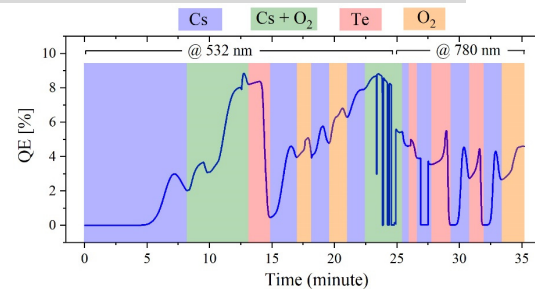


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Cs-Te-O activation on GaAs

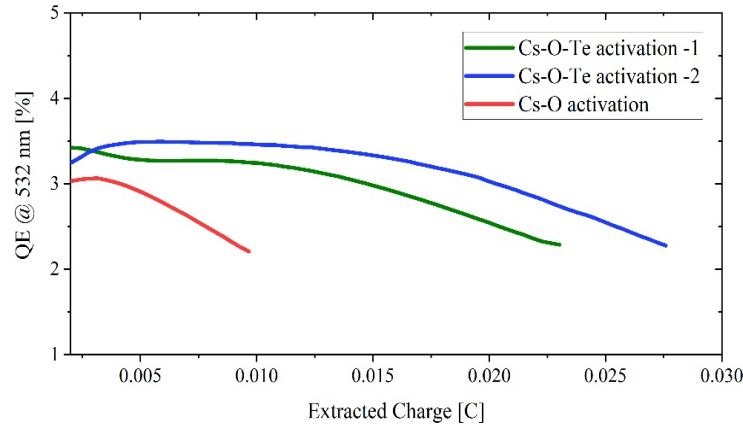
## Conclusion from the Cs-Te and Cs-Te-O based Activation

❑ In Cs-Te activation QE at **532 nm: 6.6%**

❑ In Cs-Te-O activation QE at **532 nm: 8.8%; at 780 nm: 4.5%**

# Towards Long Charge lifetime GaAs Photocathode

## Comparing Charge lifetime of Cs-Te-O based Activation



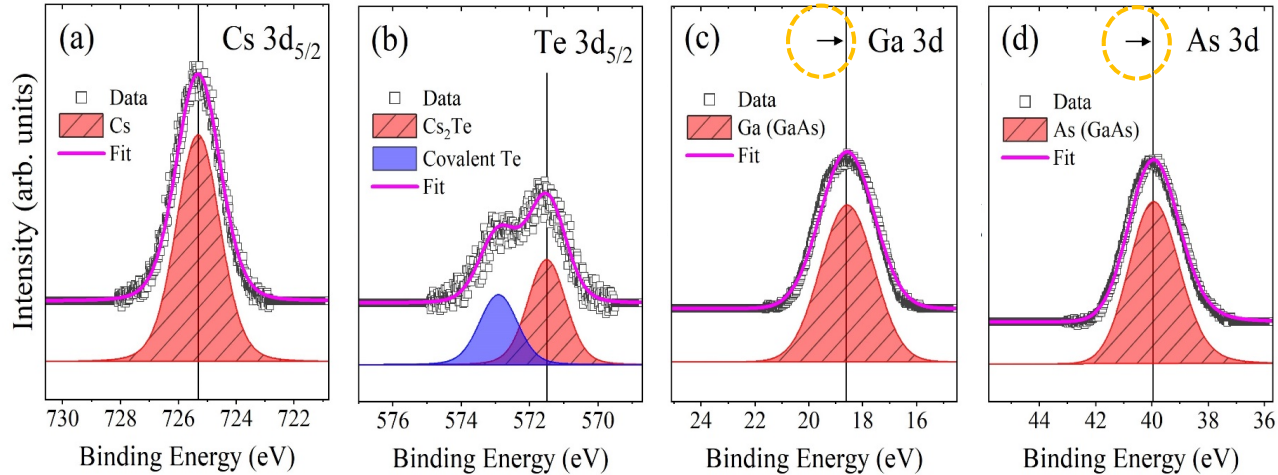
We demonstrated 5-6 times longer charge lifetime in a test chamber as compared to Cs-O/GaAs

# Towards Long Charge lifetime GaAs Photocathode

## Evaluating Surface Chemical States Cs-Te/GaAs

# Towards Long Charge lifetime GaAs Photocathode

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SR-XPS spectra after GaAs photocathode **activation with Cs-Te**

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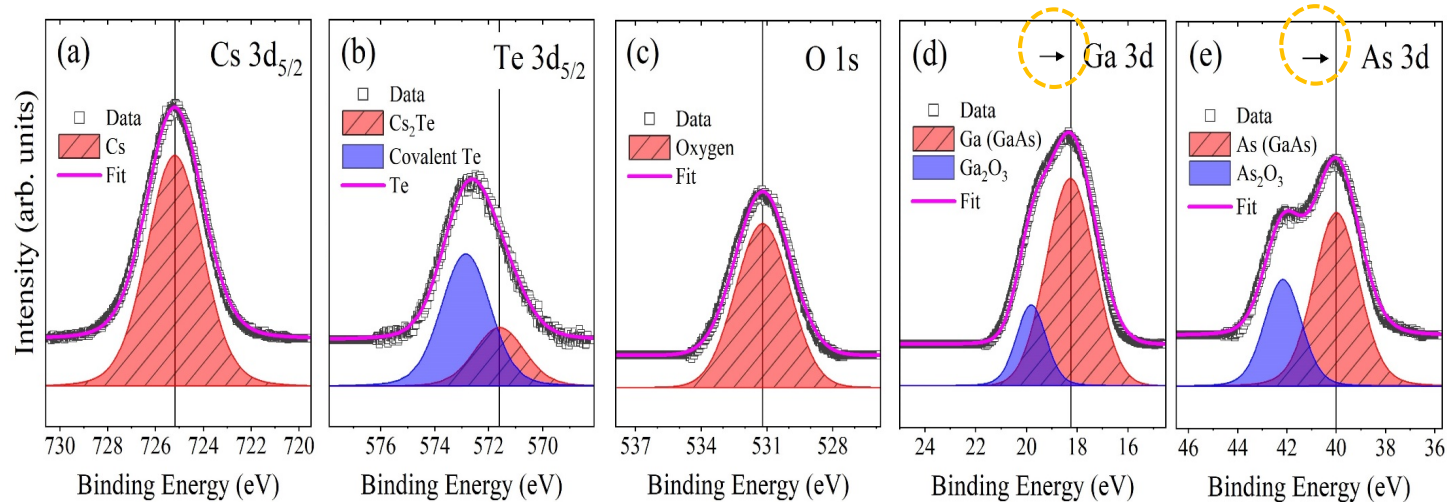
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## Evaluating Surface Chemical States Cs-Te-O/GaAs

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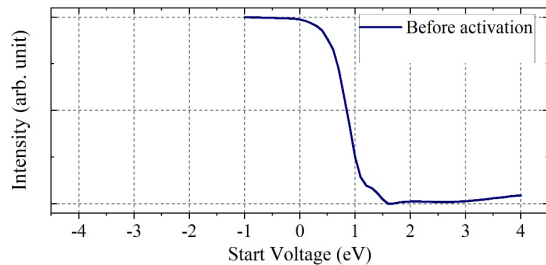
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# Towards Long Charge lifetime GaAs Photocathode

## Evaluating the Negative Electron Affinity (NEA)

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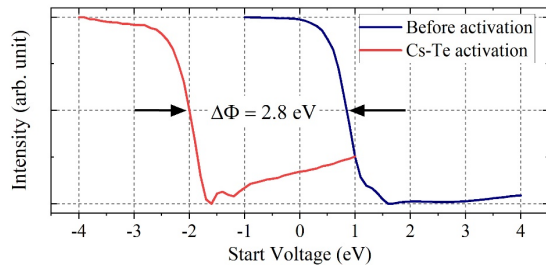
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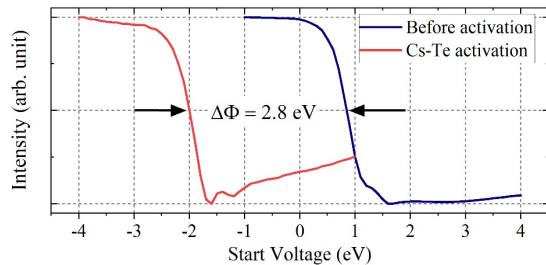
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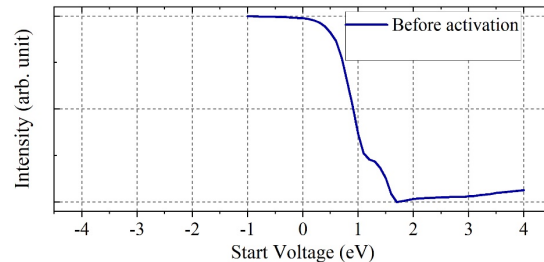


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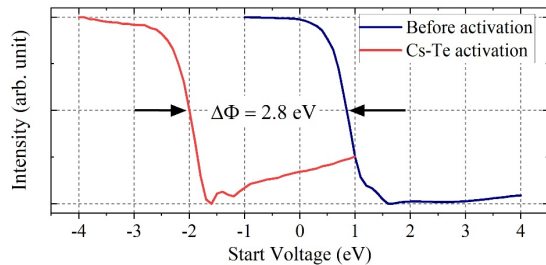


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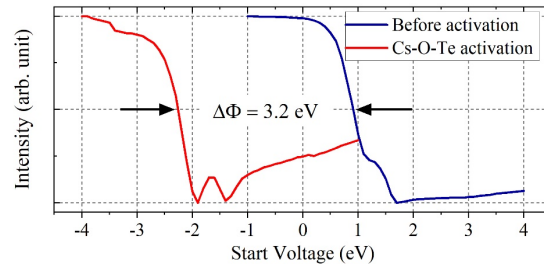


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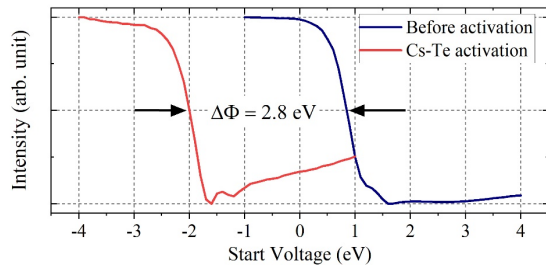
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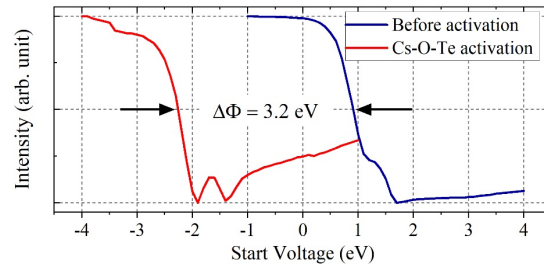
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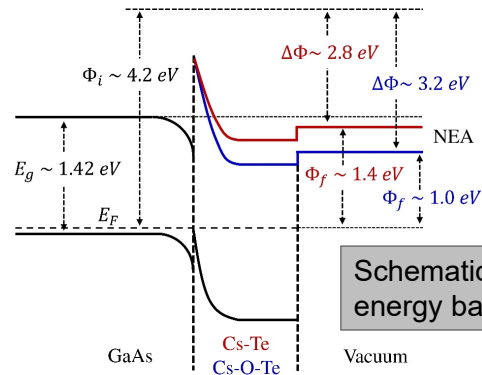
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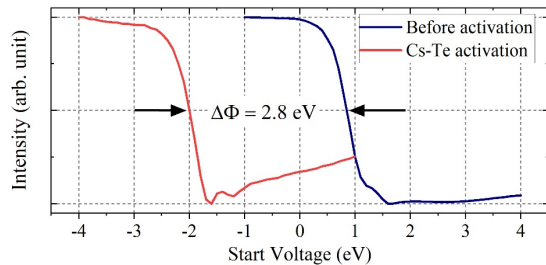
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Schematic drawing of energy band diagram

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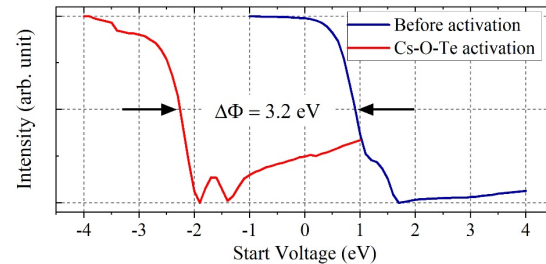


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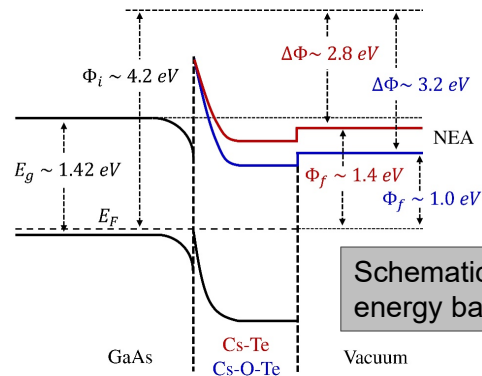
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Final work function,  $\Phi_f = 1.4 \text{ eV}$

Effective NEA,  $\chi_{eff} = -0.02 \text{ eV}$



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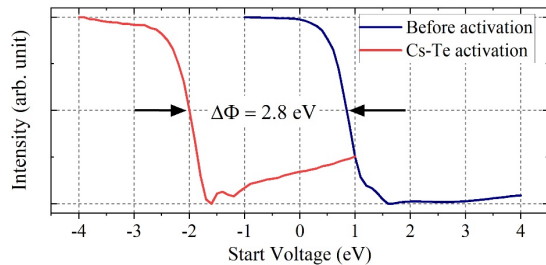


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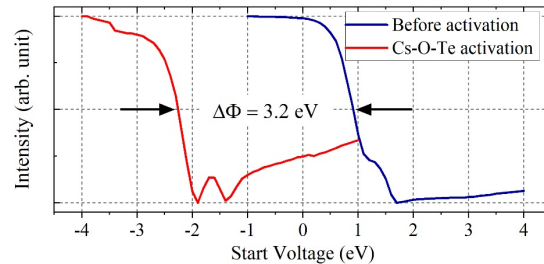
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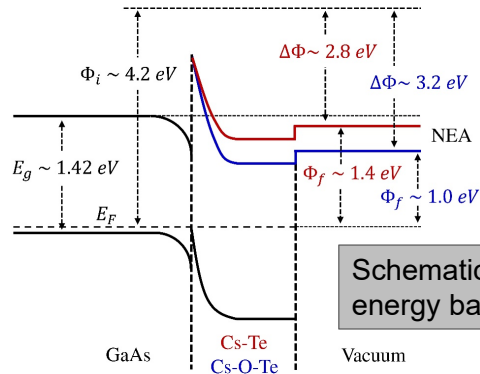
### Cs-Te-O/GaAs

Final work function,  $\Phi_f = 1.0 \text{ eV}$

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LEEM I/V of Cs-Te-O activated GaAs



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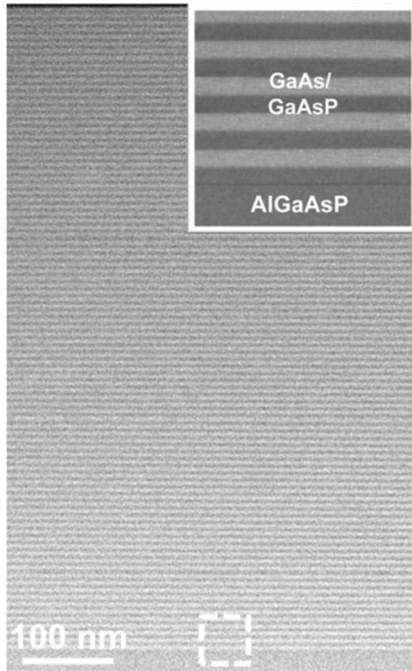
NEA is important because the thermalized electrons at the bottom of the conduction band can escape into the vacuum. Thus, QE increases the when larger NEA is achieved.

# Towards High Electron Spin Polarization (ESP)

## Superlattice (SL) GaAs

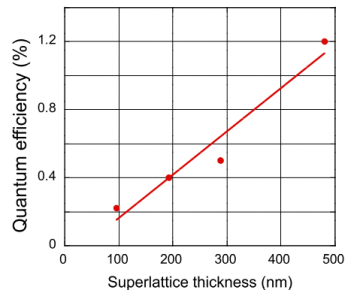
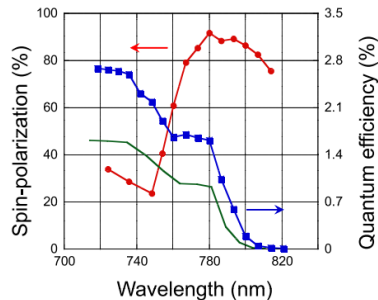
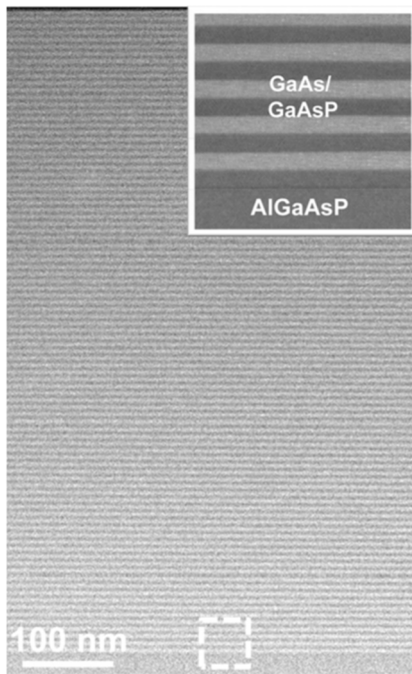
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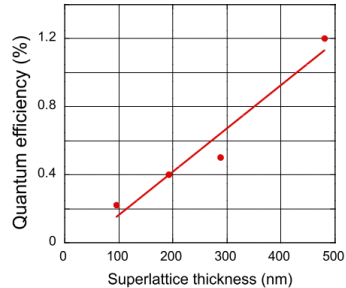
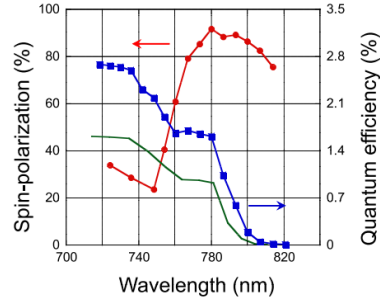
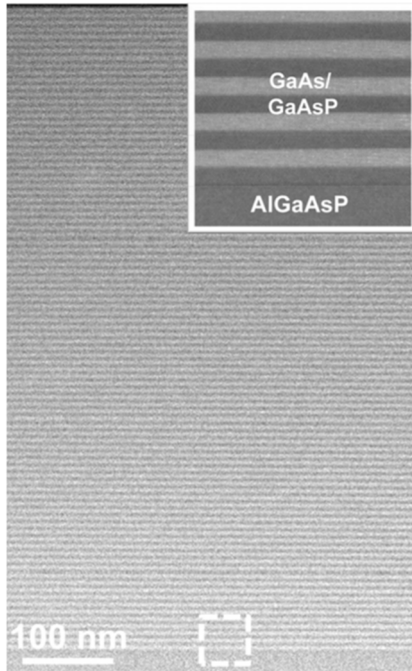
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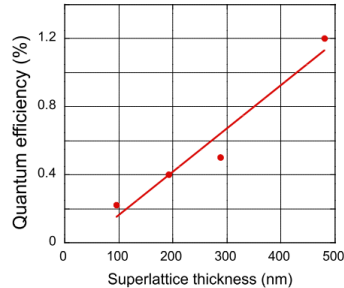
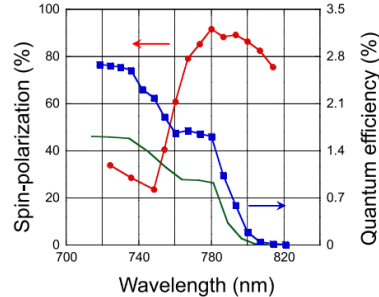
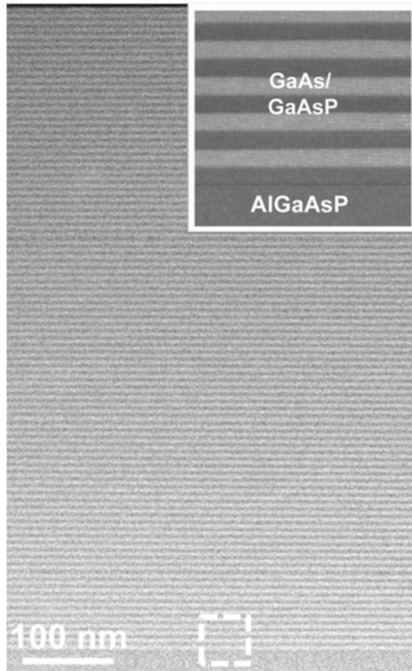
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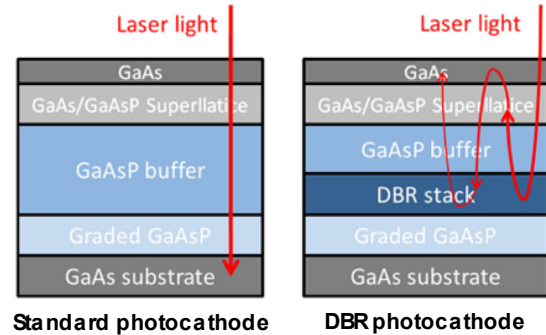
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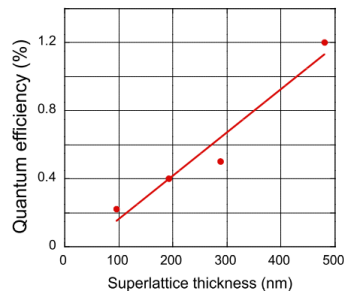
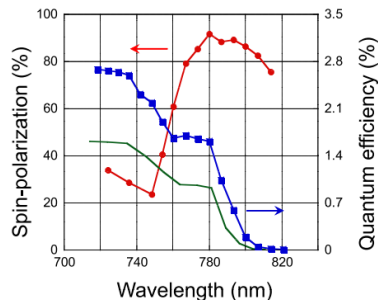
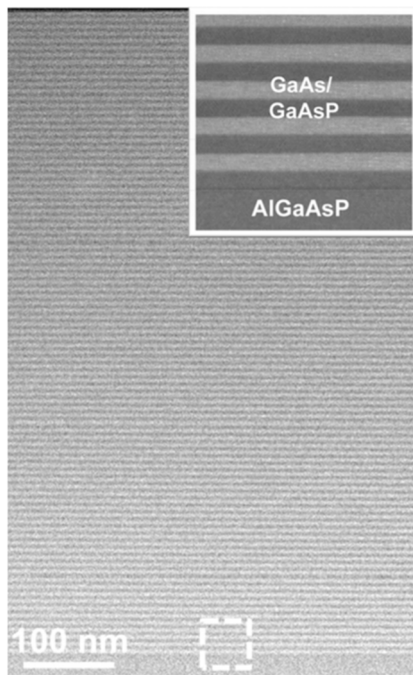


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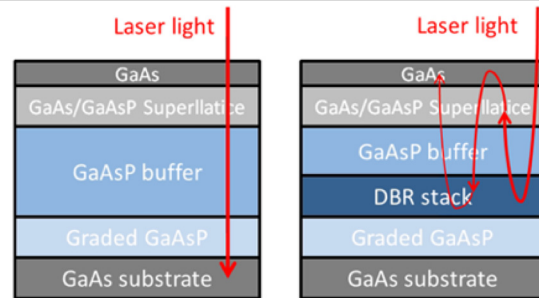


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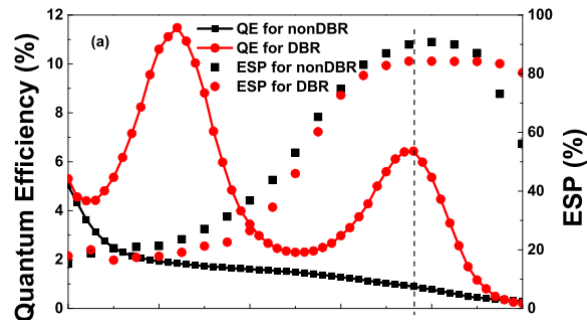


## SL – GaAs with Bragg Reflector



Standard photocathode

DBR photocathode



Liu *et al.* Appl. Phys. Lett. **109**, 252104 (2016)

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Motivation:

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- ❑ Achieving both high QE and ESP at near bandgap energy is challenging.

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We have been growing SL-DBR and characterizing them

➤ Details will follow in the Poster session: **WEPA68**

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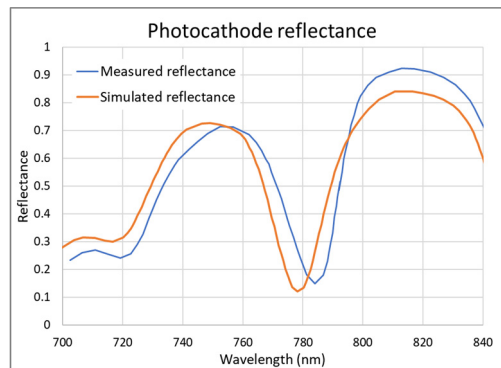
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Good agreement between the **design** reflectance and the **measured** one.

The sample **photoemission efficiency** and the **photoelectron spin polarization** are currently being evaluated at BNL

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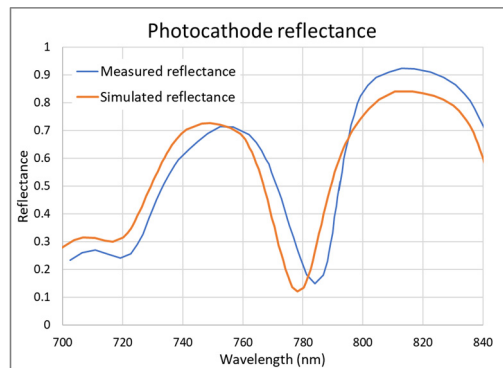
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Achieved over 15% QE and ESP around 75% at near band gap photon energies.

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  - Cs-Te-O based GaAs shows NEA, and chemical states are identified for the first time.
- Let's evaluate the robustness & charge lifetime of Cs-Te-O/GaAs in a gun
  - SL / SL-DBR with Cs-Te-O based activation

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  - Further tuning of SL layer, and growth method are ongoing, and activation with Cs-Te-O could lead to even higher QE.

# Thanks for your attentions!

Acknowledge:

L. Cultrera, E. Wang, W. Liu, O. Rahman, M. Gaowei, J. Skaritka, X. Tong, J. Sadowski, K. Kisslinger, I. Ben-Zvi, T. Rao, S.D. Hawkins, S.R. Lee, J.F. Klem.